



California Uniform Public Construction Accounting Act Contractor Pre-Qualification Application

CONTRACTOR INFORMATION

Firm Name: _____ DBA: _____

Phone: _____ e-mail: _____ DIR# _____

Address: _____

Contact(s): _____ Contact phone/email: _____

License Number:	Classification(s):	Exp. Date:

Firm Type: Corporation Partnership Sole Proprietorship

Type of Work Interest: _____

REQUIREMENTS FOR QUALIFICATION

Contractor shall be disqualified if the answers to questions 1 – 3 is “NO”.

1. Do you have a liability insurance policy with policy limit of at least \$1 Million per occurrence and \$2 Million aggregate?	<input type="checkbox"/> YES <input type="checkbox"/> NO
2. Do you have a current Workers Compensation Insurance policy as required by the State of California Labor Code or are legally Self Insured pursuant to Labor Code Section 3700 et seq?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Are you a DIR Registered contractor?	<input type="checkbox"/> YES <input type="checkbox"/> NO

NOTE: Contractor shall be disqualified if the answer to questions number 4 through 6 is “YES”.

4. Has your license been revoked at any time in the last five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
5. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the Owner within the last five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
6. At the time of submitting this Pre-Qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a Sub-Contractor on a public works contract, pursuant to either Labor Code Section 1777.1 (Violation of public works labor laws) or Labor Code Section 1777.7 (Violation of apprenticeship requirements)?	<input type="checkbox"/> YES <input type="checkbox"/> NO

If YES, state beginning and ending dates of the period of debarment: _____
Beginning Ending

NOTE: A Contractor disqualified solely because of a “YES” answer given to question 4, 5, or 6 may appeal the disqualification and provide an explanation of the relevant circumstance during the appeal procedure.

City of Upland - CUPCAA Contractor Pre-Qualification Application

ORGANIZATION, HISTORY, & COMPLIANCE	
<i>NOTE: If answer to any of the following questions is "YES", please explain on a separate signed sheet.</i>	
1. Is your firm currently the debtor in a bankruptcy case?	<input type="checkbox"/> YES <input type="checkbox"/> NO
2. Has your firm been in a bankruptcy case at any time during the last five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Has your license(s) been suspended by the California State License Board (CSLB) or any of your Responsible Managing Employee (RME) or Officer (RMO) been suspended within the last five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Has your firm been assessed and paid Liquidated Damages after completion of a project under a construction contract with either public or private owner within the last five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. In the last five (5) years, has your firm, or any firm with which any of your company's owners, officers, or partners were "associated with", been debarred, disqualified, removed, or otherwise prevented from bidding on, or competing at any government agency or public works project for any reason?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<i>NOTE: "Associated With" refers to another construction firm in which an owner, partner, or officer of your firm held similar position.)</i>	
5. In the last five (5) years, has your firm been denied an award of a public works contract based on a finding by a public agency that your firm was NOT a RESPONSIBLE bidder?	<input type="checkbox"/> YES <input type="checkbox"/> NO
6. In the past five (5) years, has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration ?	<input type="checkbox"/> YES <input type="checkbox"/> NO
7. At any time during the past five (5) years, has any surety company made any payments on your firm's behalf because of a default to satisfy any claims made against a performance or payment bond issued on your firm's behalf in connection with a construction project, either public or private?	<input type="checkbox"/> YES <input type="checkbox"/> NO
8. In the last five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?	<input type="checkbox"/> YES <input type="checkbox"/> NO
9. During the last five (5) years, has your firm ever been denied bond coverage by a surety company, or has there been a period of time when your firm had no surety bond in place during a public construction project when one was required?	<input type="checkbox"/> YES <input type="checkbox"/> NO
10. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?	<input type="checkbox"/> YES <input type="checkbox"/> NO

City of Upland - CUPCCAA Contractor Pre-Qualification Application

<i>NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.</i>	
11. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<i>NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.)</i>	
12. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five (5) years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<i>NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.)</i>	
13. Has there been more than one occasion during the last five (5) years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the state's prevailing wage laws?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<i>NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.)</i>	
14. During the last five (5) years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?	<input type="checkbox"/> YES <input type="checkbox"/> NO
15. At any time during the last five years, has your firm been found to violate any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?	<input type="checkbox"/> YES <input type="checkbox"/> NO
16. For construction contracts, does your firm generally perform 50% or more of the work with your own forces, as opposed to utilizing subcontractors?	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Not Applicable

CERTIFICATION OF CUPCCAA PREQUALIFICATION STATEMENT

The undersigned Contractor certifies and declares that the Contractor has read all the foregoing questions and answers to the Pre-Qualification Application. The Contractor certifies under penalty of perjury under the laws of the State of California that all the information contained in this Pre-Qualification Application is true and correct based upon facts known to the Contractor.

Authorized
Signature: _____ Date: _____

Name/Title (Print): _____